

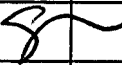


**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 1 of 1**

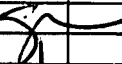
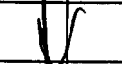
Docket No. G0003/7253

Applicant: Barker et al.  
Serial No: 10/816,500  
Filed: April 1, 2004  
For: PREFORM ASSEMBLY, CONTAINER ASSEMBLY AND METHOD OF  
MANUFACTURE  
Examiner: TBA  
Art Unit: 3727

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Document Number	Kind Code	Patentee or Applicant Name	Publication Date
		4,715,504	A1	Chang et al.	12/29/1987
			A1		
			A1		
			A1		
			A1		
			A1		
			A1		
			A1		
			A1		

**FOREIGN PATENT DOCUMENTS**

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
			EP 1208957A		Kikuchi, et al.	5/29/2002	<input type="checkbox"/>
			EP 0503086A		Orimoto, et al.	9/16/1992	<input type="checkbox"/>
			EP 0415109A		Chiba et al.	3/6/1991	<input type="checkbox"/>
			JP 08 151083A		Nakamura, K.	6/11/1996	English Abstract
			JP 05 112348A		Mori et al	5/7/1993	English Abstract
			JP 08 047967A		Yamamoto, M.	2/20/1996	English Abstract
							<input type="checkbox"/>

Examiner  
Signature



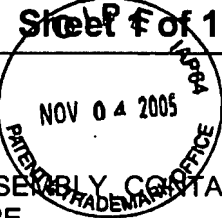
Date  
Considered

6/07

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Docket No. G0003/7253

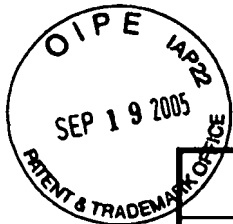
Applicant: Barker et al.  
 Serial No: 10/816,500  
 Filed: April 1, 2004  
 For: PREFORM ASSEMBLY CONTAINER ASSEMBLY AND METHOD OF MANUFACTURE  
 Examiner: TBA  
 Art Unit: 3727



## OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
S		International Search Report and Written Opinion mailed August 4, 2005 in corresponding application Serial No. PCT/US05/010614	<input type="checkbox"/>
I		SMITH, C., Blowing in the Wind, European Plastics News, vol. 31, no. 4, April 4, 2004, IPC Industrial Press Ltd., Sutton, Surrey, GB	<input type="checkbox"/>
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Examiner Signature		Date Considered	6/07
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HW

INFORMATION DISCLOSURE TRANSMITTAL		Docket No. G0003/7253
Applicant:	BARKER et al.	
Serial No:	10/816,500	
Filed:	April 1, 2004	
For:	PREFORM ASSEMBLY, CONTAINER ASSEMBLY AND METHOD OF MANUFACTURE	
Examiner:	TBA	
Art Unit:	3727	

<p style="text-align: center;">CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)</p> <p>The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 9/15/05</p> <p style="text-align: right;"><i>Judi Williams</i> Judi Williams</p>
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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to bring information to the attention of the Examiner. The filing of this statement shall not be construed as a representation that a search has been made or as an admission that this information is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

#### Enclosures

- ☒ A form PTO-1449 listing this information is attached
- ☒ Copies of documents cited, other than U.S. patents and published U.S. patent applications, are enclosed. **Applicant just obtained (within last 3 months) an English translation of JP 52 [1977]-103283 (Suzuki) and is providing the Patent Office with a copy thereof.**

#### Fees

- ☒ This statement is filed before the later of (1) three months of (i) the filing of a national application or (ii) the entry date for the national stage of an international application or (2) the mailing date of a first office action on the merits. No fee is due.
- ☐ This statement is filed before the mailing date of a final office action, a notice of allowance or an action that otherwise closes prosecution, *and*
  - ☐ The submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, *or*
  - ☐ The following certification is made:
    - ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign

application not more than three months prior to the filing of this statement,  
or

- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

- ☐ This statement is filed on or before payment of the issue fee, the submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, and

- ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

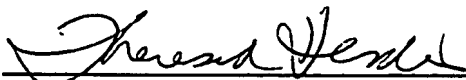
**Payment**

- ☐ A check in the amount of the submission fee is enclosed.  
☐ Charge Account No. 02-3038 in the amount of the submission fee. A duplicate of this transmittal sheet is attached.

**Authorization to Charge Additional Fees**

- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §1.16 and §1.17 required by the attached paper and during the entire pendency of this application to Account No. 02-3038.

Respectfully submitted,



Date: Sept 15, 2005

Therese A. Hendricks, Esq., Reg. No. 30,389  
KUDIRKA & JOBSE, LLP  
Customer Number 021127  
Tel: (617) 367-4600 Fax: (617) 367-4656



<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)  (37 CFR 1.98(b))	<b>ATTY. DOCKET NO.18026</b>	<b>SERIAL NO.</b>
	<b>APPLICANT</b> Keith J. Barker et al.	
	<b>FILING DATE</b> Herewith	<b>GROUP</b>

### U.S. PATENT DOCUMENTS

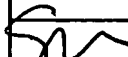
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4 2 9 3 5 2 0	10/06/1981	Akutsu			
	4 5 0 7 2 5 8	03/26/1985	Aoki			
	4 7 1 5 5 0 4	12/29/1987	Chang et al.			
	5 4 4 7 7 6 6	09/05/1995	Orimoto et al.			
	5 7 6 2 9 7 0	06/09/1998	Takashima et al.			
	6 2 1 7 8 1 8	04/17/2001	Collette et al.			

### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	5 7 7 2 3 3	03/30/1993	Japan				
	5 2 8 5 9 4 3	11/02/1993	Japan				
	5 2 8 5 9 4 4	11/02/1993	Japan				
	5 2 8 5 9 4 5	11/02/1993	Japan				
	5 8 4 1 7 3 2	09/14/1983	Japan				
	2 4 4 0 8 2 4	07.23.1980	France				
	0 1 4 4 4 5 0	11/18/1983	European				

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

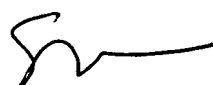
<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)  (37 CFR 1.98(b))	<b>ATTY. DOCKET NO.18026</b>	<b>SERIAL NO.</b>
	<b>APPLICANT</b> Keith J. Barker et al.	
	<b>FILING DATE</b> Herewith	<b>GROUP</b>

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO	
	0 3 0 2 1 1 7	02/09/1988	European	<del>      </del>	<del>      </del>		

**OTHER DOCUMENTS (Including Author, Title, Date\*\*, Relevant Pages, Place of Publication\*\*\*)**

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**EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.**

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